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Patricia K. Fitzsimmons

September 26, 2003



## UTILITY PATENT APPLICATION TRANSMITTAL (new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: PHA 4200.1 (3090/5A)

First Named Inventor: John S. Ng

Title: PROCESSES FOR PREPARATION OF 9,11-EPOXY STEROIDS AND  
INTERMEDIATES USEFUL THEREIN

Express Mail Label Number: EV 324378869 US

TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

### APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form  
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 270]
4. ☒ Drawings [Total Sheets 5]
5. Oath or Declaration [Total Pages 8]
  - a. ☐ Newly executed (original or copy)  
☐ New (unexecuted)
  - b. ☒ Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i. ☐ DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.

6. ☒ [X] Incorporation By Reference  
(useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ [X] Application Data Sheet
8. ☐ [ ] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ [ ] Computer Readable Form
- b. ☐ [ ] Specification Sequence Listing on:
- i. ☐ [ ] CD-ROM or CD-R (2 copies); or
- ii. ☐ [ ] paper
- c. ☐ [ ] Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. ☒ [X] Assignment Papers (cover sheet & document(s))
11. ☐ [ ] 37 CFR 3.73(b) Statement ☒ [X] Power of Attorney
12. ☐ [ ] English Translation Document (if applicable)
13. ☐ [ ] IDS with PTO/SB/O8A ☐ [ ] Copies of IDS Citations
14. ☒ [X] Preliminary Amendment
15. ☒ [X] Return Receipt Postcard
16. ☐ [ ] Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ [ ] Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ [ ] Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION**

19. ☐ [ ] Continuation ☒ [X] Divisional ☐ [ ] Continuation-in-Part of prior application No.: 09/923,977, filed August 7, 2001; which is a divisional of prior application No. 09/583,158, filed May 30, 2000; which is a divisional of 09/246,908, filed February 9, 1999, which is a divisional of prior application No. 08/763,910, filed December 11, 1996; which claims priority from prior application No. 60/008,455, filed December 11, 1995.

[ ] Complete Application based on provisional Application  
No. \_\_\_\_\_

Prior application information: Examiner: Badio  
Group Art Unit: 1616

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: Patricia K. Fitzsimmons

Respectfully submitted,

A handwritten signature in cursive script that reads "Patricia K. Fitzsimmons".

Patricia K. Fitzsimmons, Reg. No. 52,894

PKF/cms

FEE TRANSMITTAL

Application Number to be assigned  
Filing Date September 26, 2003  
Confirmation No. to be assigned  
Inventor(s) John S. Ng  
Group Art Unit 1616  
Examiner Name to be assigned  
Attorney Docket Number PHA 4200.1 (3090/5A)

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.  
☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.  
☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

## FEE CALCULATION

1. [X] BASIC FILING FEE Subtotal (1) \$ 750.00  
(Type: Divisional)
2. [X] EXTRA CLAIM FEES Subtotal (2) \$ 762.00  
  
Total Claims 39  
Independent Claims 8  
Multiple Dependent Claims
3. [ ] ADDITIONAL FEES Subtotal (3) \$           
  
[ ] Surcharge - late filing fee or oath  
[ ] Surcharge - late provisional filing fee or cover  
sheet  
[ ] Extension for reply within          month  
[ ] Notice of Appeal  
[ ] Filing a Brief in Support of an appeal  
[ ] Request for ex parte Reexamination  
[ ] Petitions to the Commissioner  
[ ] Submission of Information Disclosure Statement  
[ ] Recording each patent assignment per property  
[ ] Request for Continued Examination  
[ ] Other:

**TOTAL AMOUNT OF PAYMENT** \$ 1512.00

Patricia K. Fitzsimmons  
Patricia K. Fitzsimmons, Reg. No. 52,894

September 26, 2003  
Date

PKF / cms

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